

A Mathematical Comment on the Fundamental Difference between Legal Theory Formation and Scientific Theory Formation

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1 Introduction

test

2 Scientific Theory Formation: Model I

A set of n cases is $C = \{ \langle c_i, o_i \mid i = 1, \dots, n \rangle \}$. Each circumstance, c_i , is a vector in \mathfrak{R}^k . Either all observations, o_i are real-valued, in \mathfrak{R} , or all are boolean, in $\{0, 1\}$.

A parameterized function, f , with parameters $p \in \mathfrak{R}^m$ is chosen to fit the cases. f is a mapping $\mathfrak{R}^k \rightarrow \{0, 1\}$ or $\mathfrak{R}^k \rightarrow \mathfrak{R}$, depending on the values of o_i .

The error of f 's fit with C is defined as a function of p , $E(f_p(C))$, or $E(p)$ for short:

$$E(p) = \sum_{i=1, \dots, n} (f(c_i) \neq o_i)$$

is just the number of disagreements between the value of the function and the observed value. This makes most sense for boolean observations. For real-valued observations, a norm is often used, such as squared error:

$$E(p) = \sum_{i=1, \dots, n} \|f(c_i) - o_i\|.$$

The scientific theory formation problem is to find $p^* \in \Phi$ to minimize the error:

$$\operatorname{argmin}_{p \in \Phi} E(f_p(C)).$$

For example, for $k = 1$, linear regression is the most basic curve-fitting, on $p = \langle m, b \rangle$, which is a kind of theory-formation.

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3 Scientific Theory Formation: Model II

A simplicity ordering on functional forms, \prec , permits one function, f to be compared to another, g on grounds of simplicity. For f_{p_1} , where p_1 has m dimensions or *degrees of freedom*, any g_{p_2} where p_2 has $m - 1$ dimensions will be simpler.